

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
(WW) 28538 P USIn Re Application Of: **Kaupert et al.**

FEB 17 2004

Serial No.  
10/667,101Filing Date  
September 30, 2003

Examiner

Group Art Unit  
3679Title: **Heating System for a Vehicle**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231****37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

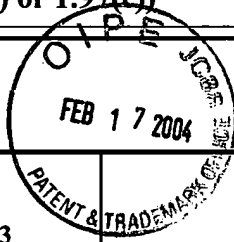
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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3679

Heating System for a Vehicle

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **NO FEE** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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M. Robert Kestenbaum

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Signature

Dated: February 12, 2004

M. Robert Kestenbaum

Reg. No. 20,430

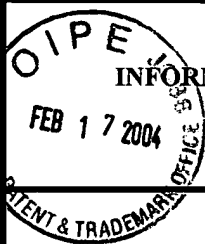
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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

(WW) 28538 P US

Application Number

10/667,101

Applicant(s)

Kaupert et al

Filing Date

September 20, 2003

Group Art Unit

3679

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE       | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------------|------------------|-------|----------|-------------------------------|
|                      |     | 5,753,383       | 05/19/1998 | Cargnelli et al. | H01M  | 8/02     |                               |
|                      |     |                 |            |                  |       |          |                               |
|                      |     |                 |            |                  |       |          |                               |
|                      |     |                 |            |                  |       |          |                               |
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|                      |     |                 |            |                  |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT NUMBER  | DATE       | COUNTRY | CLASS  | SUBCLASS | Translation |    |
|--|-----|------------------|------------|---------|--------|----------|-------------|----|
|  |     |                  |            |         |        |          | YES         | NO |
|  |     | JP 090 46 802 A  | 14/02/1997 | JAPAN   | B 60 L | 1/02     | ✓           |    |
|  |     | EP 1 057 780 A2  | 06/12/2000 | EUROPE  | C 01 B | 3/32     | ✓           |    |
|  |     | DE 2 016 473 A   | 28/10/1971 | GERMANY | B 60 H | 1/22     |             | ✓  |
|  |     | DE 199 39 807 C2 | 01/03/2001 | GERMANY | F 01 N | 3/08     |             | ✓  |
|  |     | DE 100 13 597 A1 | 27/09/2001 | GERMANY | F 01 k | 25/00    |             | ✓  |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |                                                                                         |
|--|--|-----------------------------------------------------------------------------------------|
|  |  | Translation of Claim 1 of DE-2 016 473 A<br>Translation of Abstract of DE 199 39 807 C2 |
|  |  | Translation of Abstract of DE 100 13 597 A1                                             |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.